

Low Energy Electron Diffraction - LEED

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Literature:

- G. Ertl, J. Küppers, Low Energy Electrons and Surface Chemistry, VCH, Weinheim (1985).
- M. Henzler, W. Göpel, Oberflächenphysik des Festkörpers, Teubner, Stuttgart (1991).
- M.A. Van Hove, W.H. Weinberg, C.-M. Chan, Low-Energy Electron Diffraction, Experiment, Theory and Surface Structure Determination, Springer Series in Surface Sciences 6,
- G. Ertl, R. Gomer eds., Springer, Berlin (1986).
- M. Horn-von Hoegen, Zeitschrift für Kristallographie 214 (1999) 1-75.
- Low Energy Electron Diffraction - LEED

1. Introduction, General

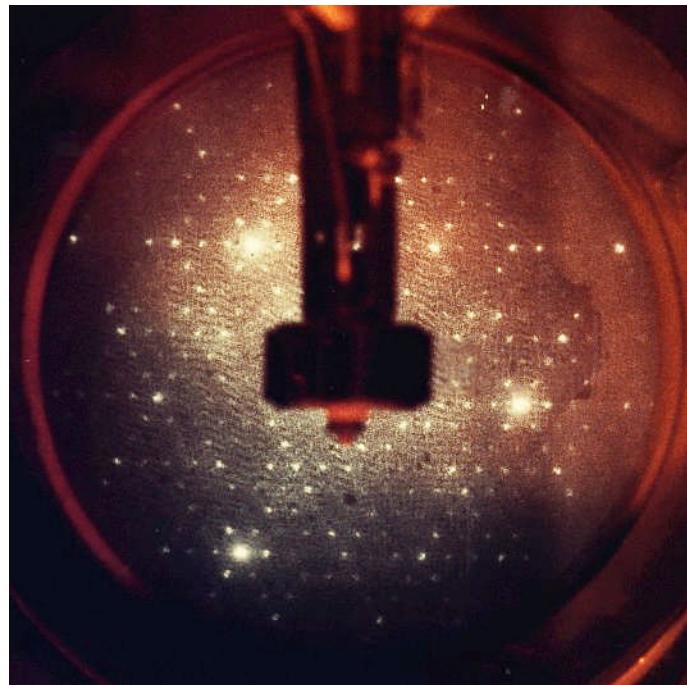
Surface science, UHV, $p \sim 10^{-10}$ mbar

De Broglie wavelength: $\lambda = h/(mv)$

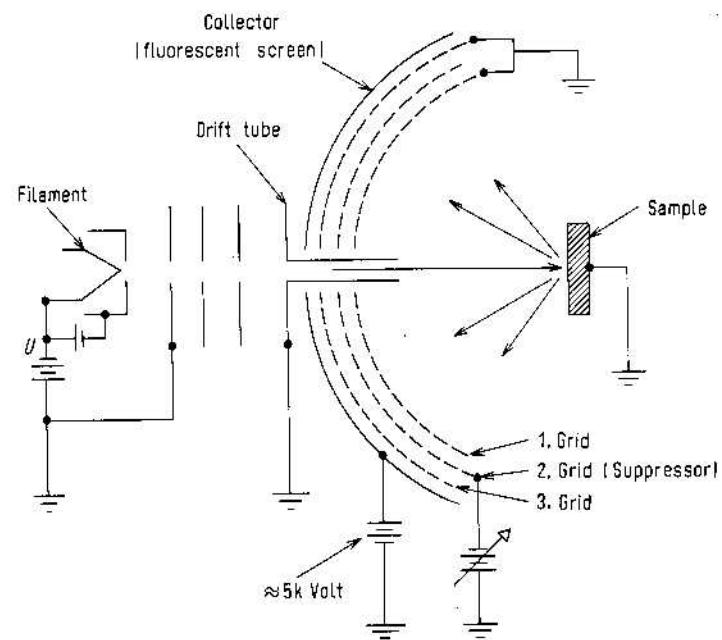
For electrons: $\lambda = \sqrt{150 / E_0}$ E_0 in eV, λ in Å.

For 100 eV-electrons: $\lambda(100) = 1.22$ Å (low energy)

corresponds to atomic dimensions, similar to XRD



Si(111)-(7x7)



LEED display system

Ertl/Küppers fig. 9.7, p. 210

LEED is surface sensitive

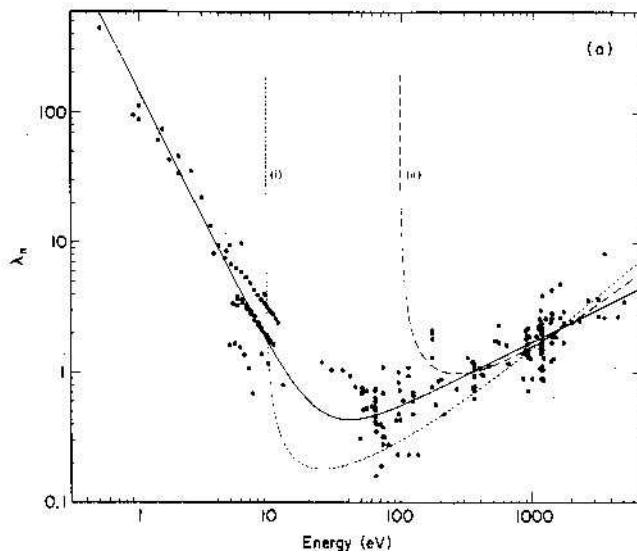
Low energy electrons
interact strongly with matter:

electron mean free path λ_e

is small.

Only e^- scattered from near surface
can leave the surface,

surface sensitive



M.P. Seah, W.A. Dench, Surf. Interf. Anal. 1 (1979) 2

The observation of a LEED pattern
does not guarantee that the whole surface is ordered!

Coherence of e^- -beam limited by ΔE and beam divergence.
Coherence length = diameter of coherently scattering area.

The coherence length
of a standard LEED optics
is only 10 – 20 nm!

1st approximation:
Scattering from 2-D lattice.

Analogy to optical grating.

Constructive interference:
Enhancement of intensity only
in certain directions:

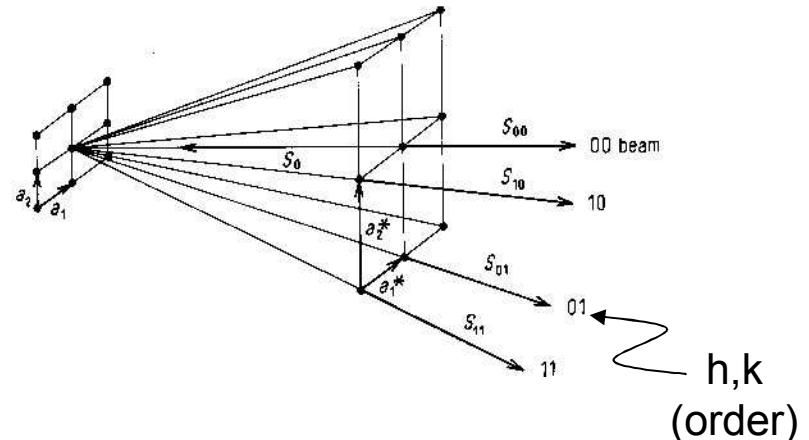
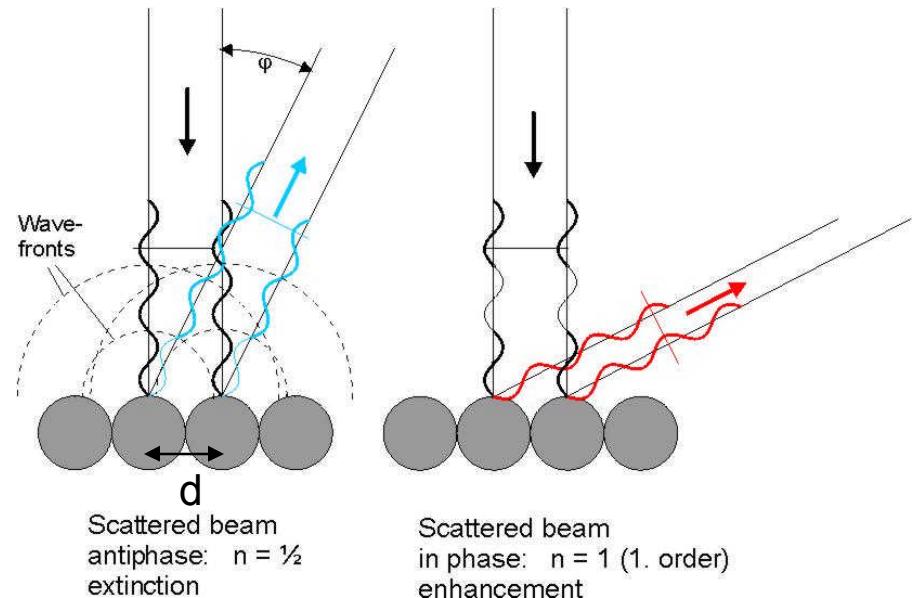
$$n \lambda = d \sin \varphi$$

For 2D arrangement (plane lattice):
scattering conditions have to be
fulfilled in both directions

Note:

If the lattice constant(s) a_1 (a_2) increase,
the scattering angle for the beam h (k)
decreases.

This is the reason for the reciprocity of the
real and the s.c. reciprocal lattice.



Formation of diffraction pattern

Useful: Introduction of reciprocal lattice

Real lattice vectors

$$\mathbf{a}_1, \mathbf{a}_2$$

Reciprocal lattice vectors

$$\mathbf{a}_1^*, \mathbf{a}_2^*$$

Definitions:

\mathbf{a}_1^* perpendicular to \mathbf{a}_2

\mathbf{a}_2^* perpendicular to \mathbf{a}_1

$$\mathbf{a}_1^* = 1/(\mathbf{a}_1 \sin \gamma)$$

$$\mathbf{a}_2^* = 1/(\mathbf{a}_2 \sin \gamma)$$

γ angle between \mathbf{a}_1 and \mathbf{a}_2

Constructive interference for:

$$\mathbf{a}_1 (\mathbf{s} - \mathbf{s}_0) = h \lambda$$

$$\mathbf{a}_2 (\mathbf{s} - \mathbf{s}_0) = k \lambda$$

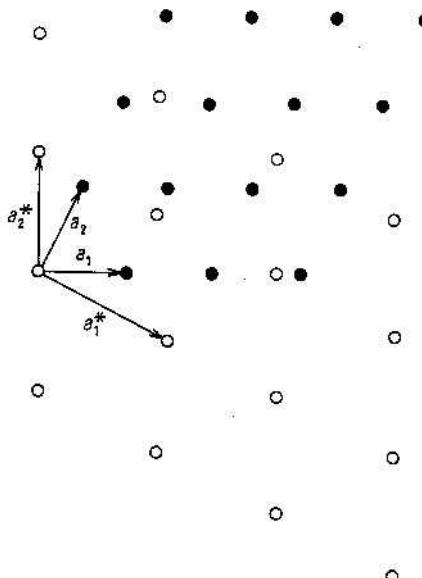
(Laue conditions for 2 dimensions)

Real 2D system: 3rd Laue condition always fulfilled.

It follows for the direction of beams:

$$1/\lambda (\mathbf{s} - \mathbf{s}_0) = 1/\lambda \Delta \mathbf{s} = h \mathbf{a}_1^* + k \mathbf{a}_2^* = \mathbf{g}$$

\mathbf{g} = reciprocal lattice vector

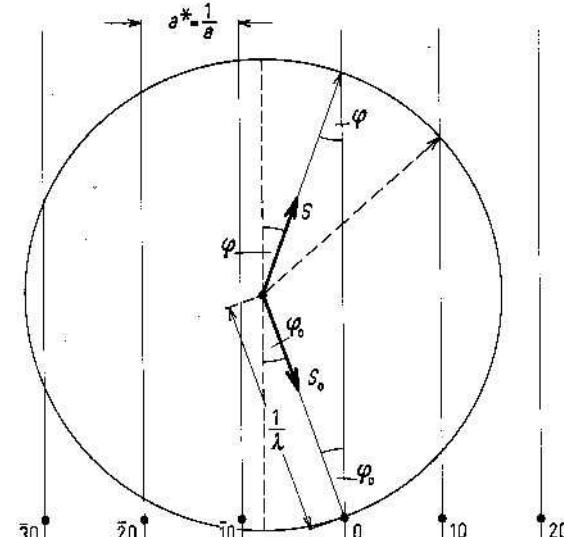


Example

Ertl/Küppers fig. 9.11, p 216

Ewald sphere construction

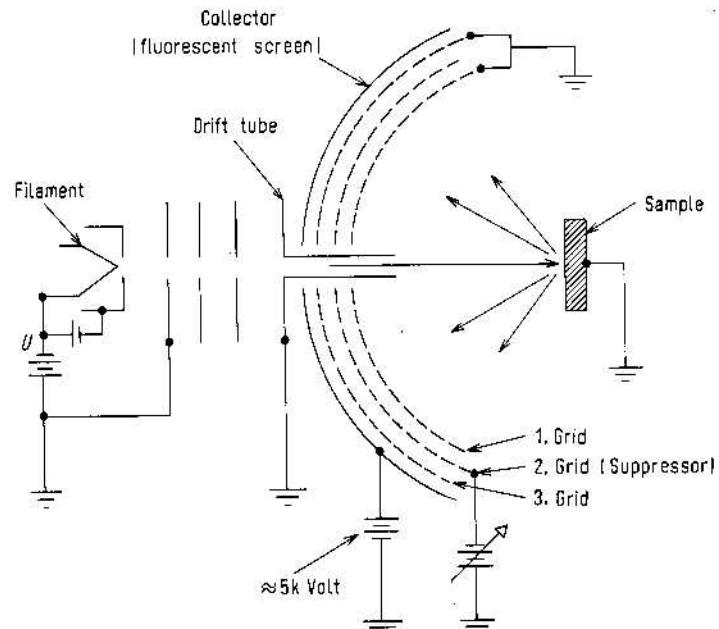
- plot reciprocal lattice (rods)
- plot direction of incident beam (s_0) towards (00) spot
- go $1/\lambda$ along this direction
- make circle (sphere) with radius $1/\lambda$
- direction from circle (sphere) center towards cut with reciprocal lattice rods gives direction of all possible diffraction spots (hk)



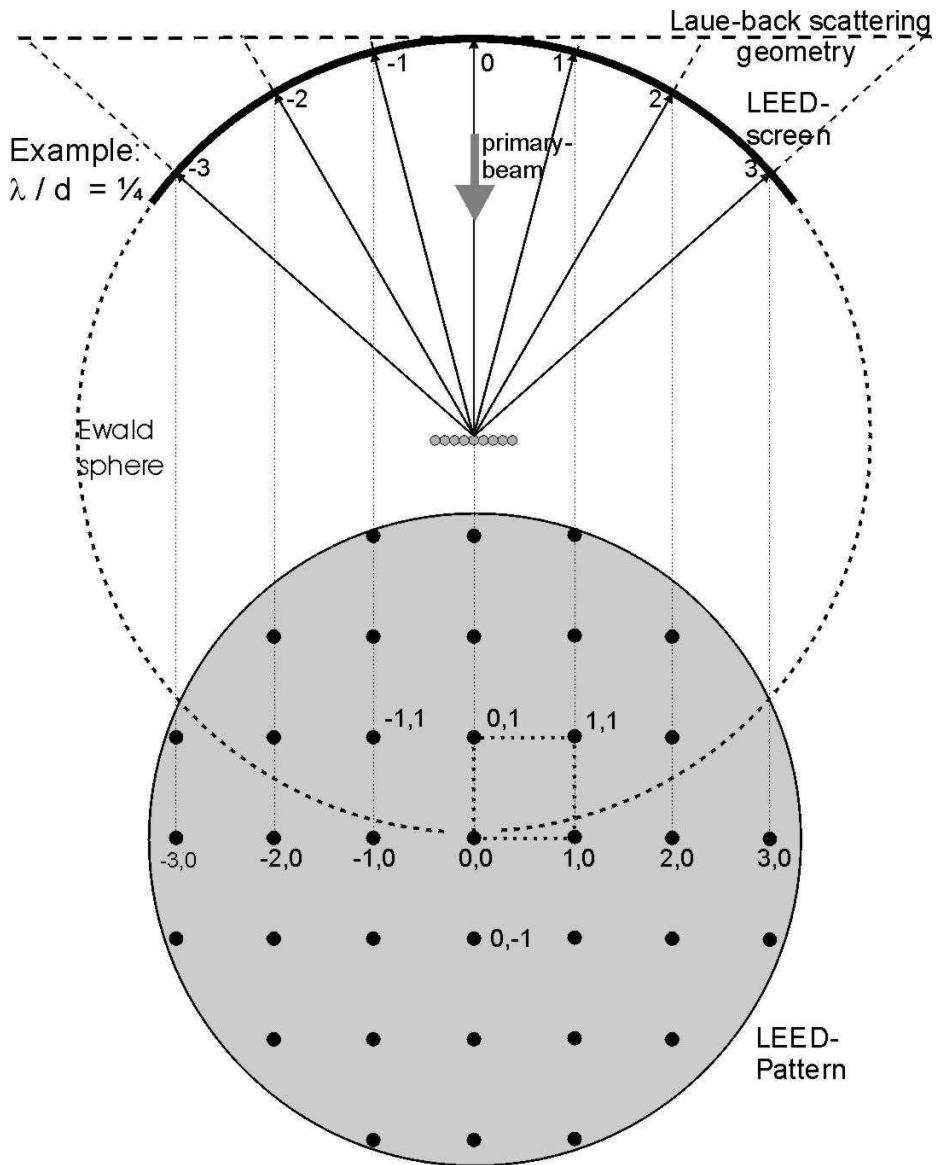
Ertl/Küppers fig. 9.13, p. 218

Usual arrangement:

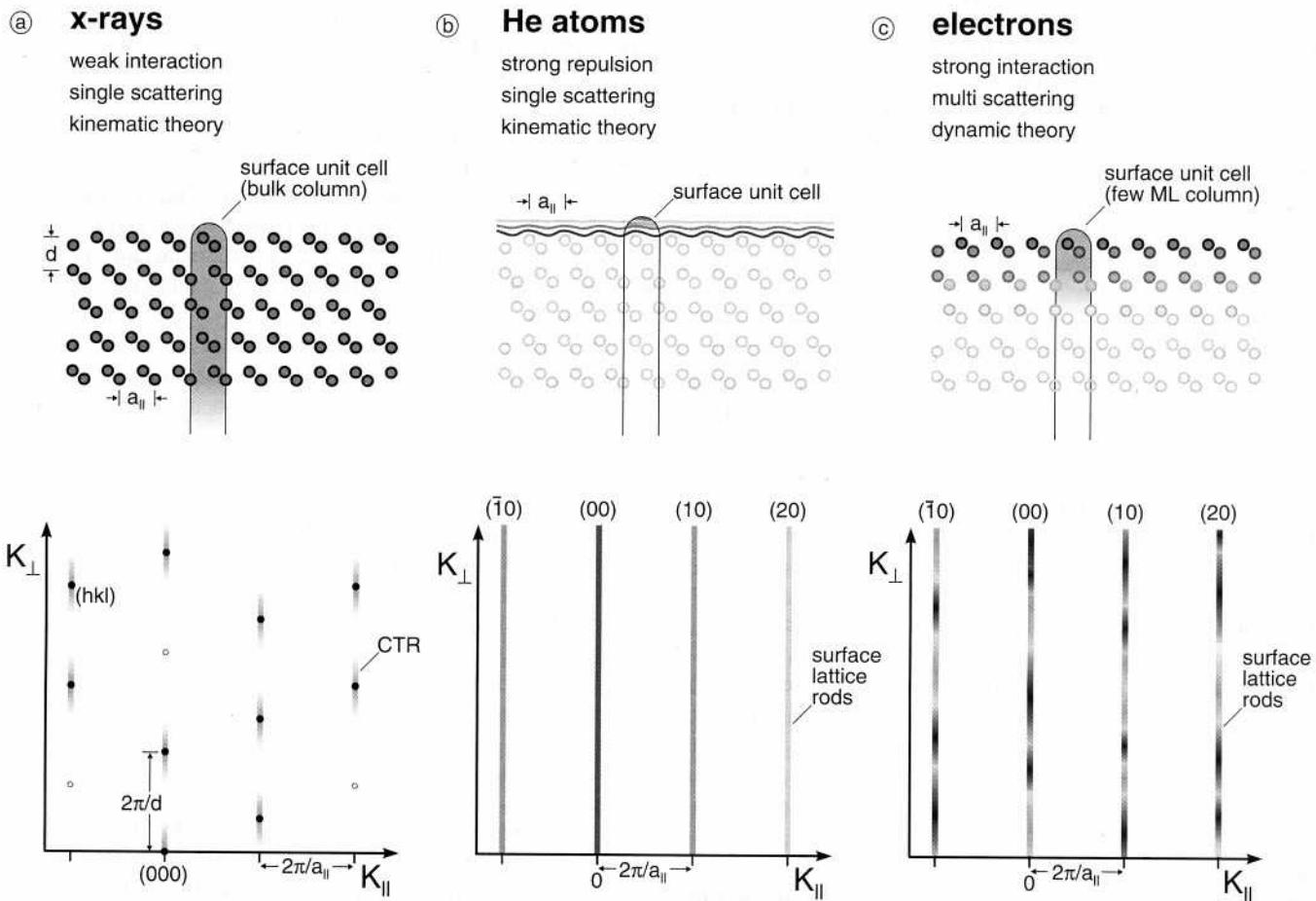
Normal incidence,
symmetrical diffraction pattern



Ertl/Küppers fig. 9.7, p. 210



Expected diffraction pattern for (001) surface,
e.g. Pt(001) (unreconstructed), $E_0=313$ eV



Surface diffraction with X-rays, He-atoms and electrons.
 Example: diamond-type (111) surface like C, Si, Ge.
 The darkness of rec. latt. spots and rods symbolizes diffraction intensity

LEED:

2. Simple

Kinematic theory (single scattering)
Size, shape and symmetry of surface unit cell,
Superstructures
Domains
only if long-range ordered

No information about atomic arrangement within the unit cell

3. Less simple

Kinematic theory
Deviations from long-range order:
Spot width → domain size
Background intensity → point defect concentration
Spot splitting → atomic steps

4. Difficult

Dynamic theory (multiple scattering)
Spot intensities $I(E_0)$ or I-V curves → structure within unit cell

2. LEED – simple

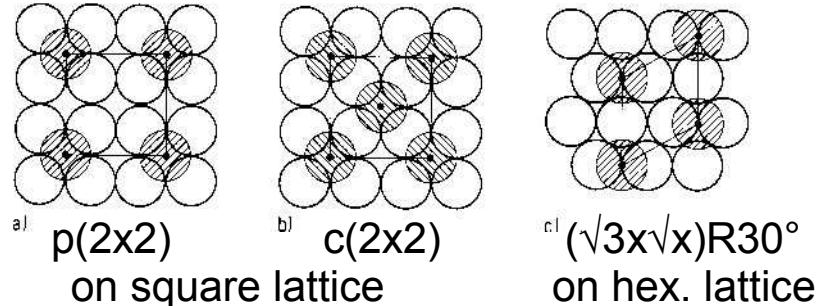
Superstructures result from:

Reconstruction = rearrangement of surface atoms on clean surfaces
Ordered adsorption

Structure examples

Overlayer structures

Ertl/Küppers fig. 9.2, p.204



Superstructure nomenclature

Wood: Simplest in most cases

p or $c(n\times m)R\vartheta^\circ$

unit cell vector lengths

$$b_1 = n \mathbf{a}_1 \quad b_2 = m \mathbf{a}_2$$

rotation ϑ p =primitive, c =centered

Matrix notation (Park and Madden)
more general

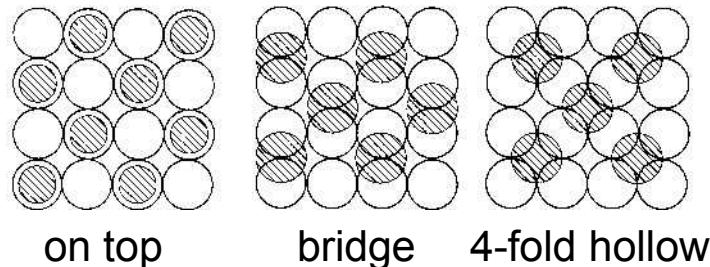
$$\begin{matrix} m_{11} & m_{12} \\ m_{12} & m_{22} \end{matrix} \quad \begin{matrix} \mathbf{b}_1 = m_{11} \mathbf{a}_1 + m_{12} \mathbf{a}_2 \\ \mathbf{b}_2 = m_{12} \mathbf{a}_1 + m_{22} \mathbf{a}_2 \end{matrix}$$

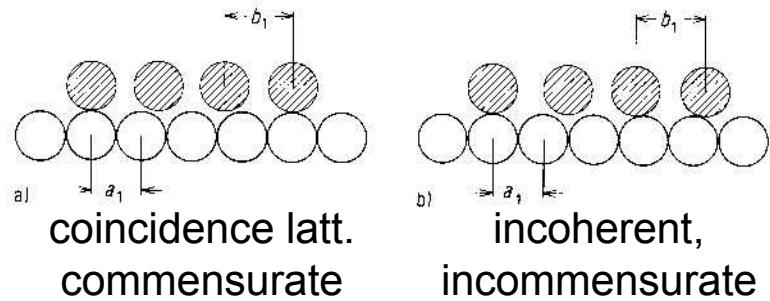
Wood (2×2) [$\vartheta=0$ is omitted] $(\sqrt{3}\times\sqrt{3})R30^\circ$

Matrix	2 0	1 1
	0 2	2 -1

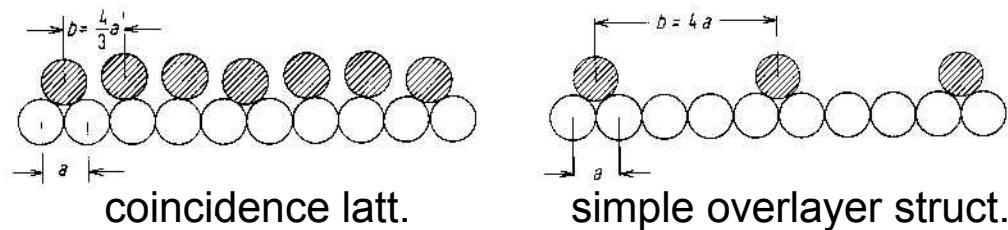
Three possible arrangements
yielding $c(2\times 2)$ structures.
Note: different symmetry!

Ertl/Küppers fig. 9.6, p.208

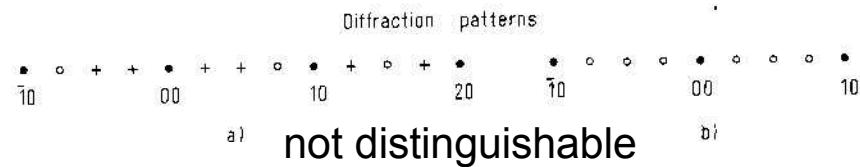




Ertl/Küppers fig. 9.3, p.205



Ertl/Küppers fig. 9.19, p.224



Real and reciprocal space lattices

Van Hove et al. fig. 3.5, p.55

REAL SPACE LATTICE	RECIPROCAL LATTICE
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 2 & 0 \\ 0 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 2 & 1 \\ 1 & 0 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 2 & 0 \\ 0 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 2 & 2 \\ 2 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (100) - \langle \begin{smallmatrix} 1 & 1 \\ -1 & 1 \end{smallmatrix} \rangle \}$ $\{ \text{fcc } (100) - \langle \sqrt{2} \times \sqrt{2} \rangle R45^\circ \}$ $\{ \text{fcc } (100) - c(2 \times 2) \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 2 & 0 \\ 0 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 2 & 1 \\ 1 & 0 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 1 & 0 \\ 0 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (110) - \langle \begin{smallmatrix} 1 & 2 \\ 2 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 1 & 1 \\ 2 & 1 \end{smallmatrix} \rangle \}$ $\{ \text{fcc } (111) - \langle \sqrt{3} \times \sqrt{3} \rangle R30^\circ \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 2 & 0 \\ 0 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 2 & 2 \\ 2 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 1 & 0 \\ 0 & 2 \end{smallmatrix} \rangle \}$
	$\{ \text{fcc } (111) - \langle \begin{smallmatrix} 1 & 2 \\ 2 & 1 \end{smallmatrix} \rangle \}$

Superstructures, example 1

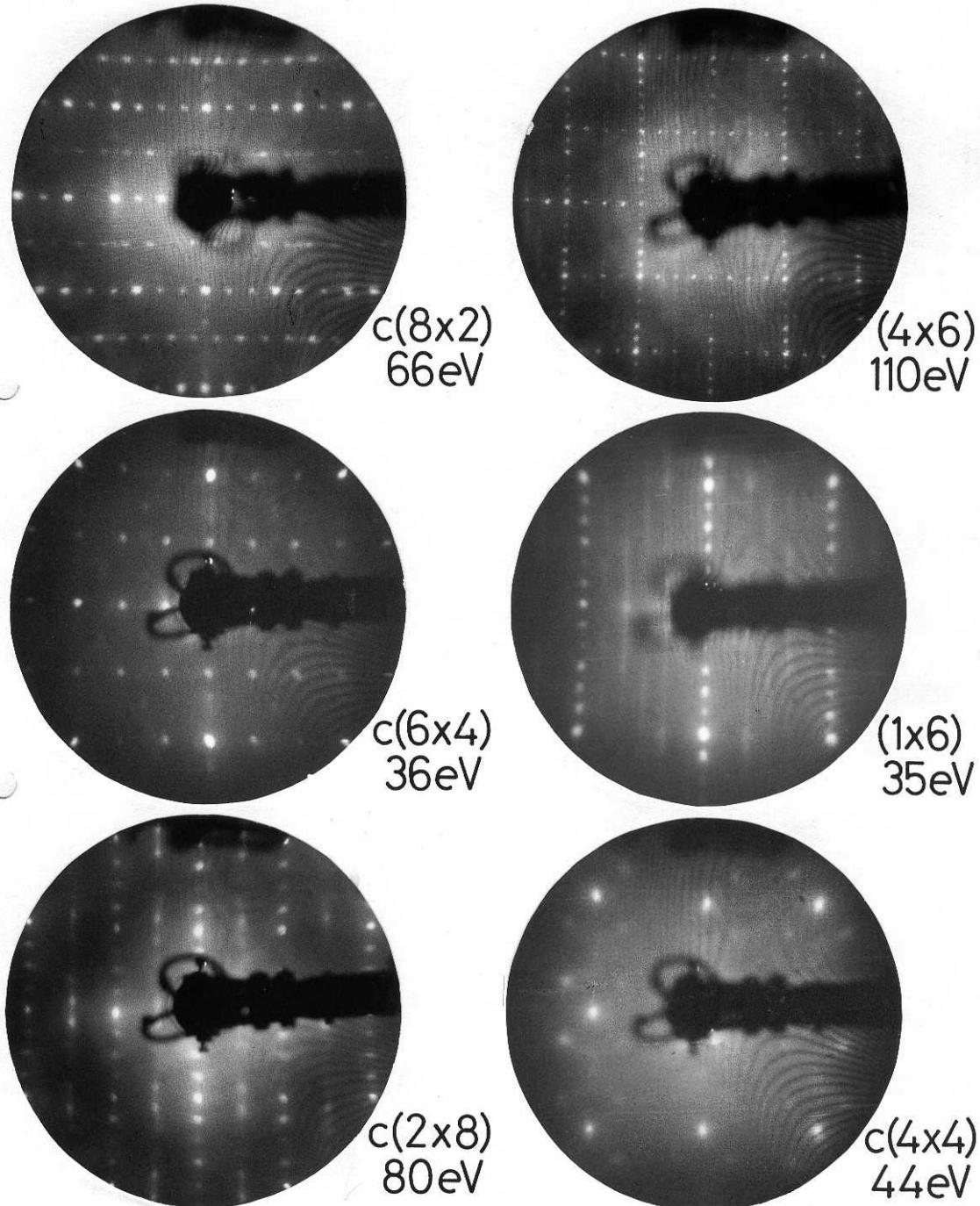
GaAs(001)
clean,
different preparations

As(31)/Ga(55)
Auger peak height ratios:

c(8x2)	1.74
(4x6)	1.77
c(6x4)	1.92
(1x6)	2.12
c(2x8)	2.25
c(4x4)	2.7

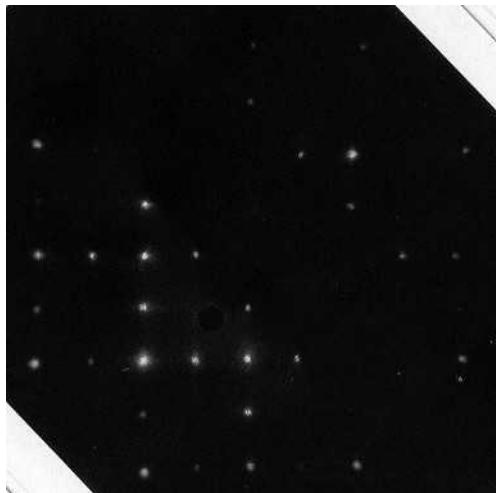
Information from patterns:

- symmetry of unit cell
- size and shape of surface unit cell
- sharpness of spots
→ domain size
- background intensity
→ concentration of point defects



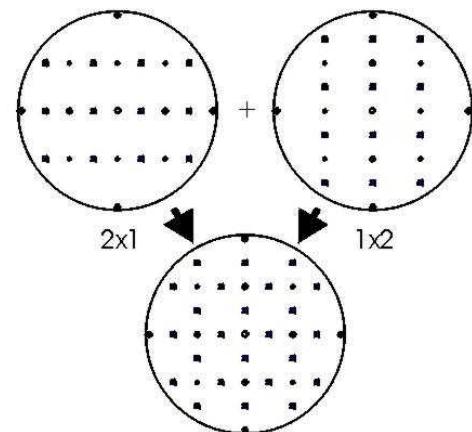
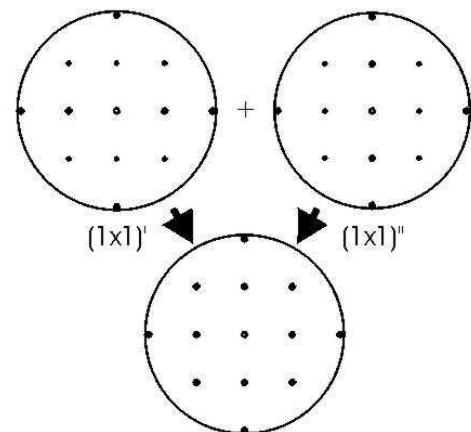
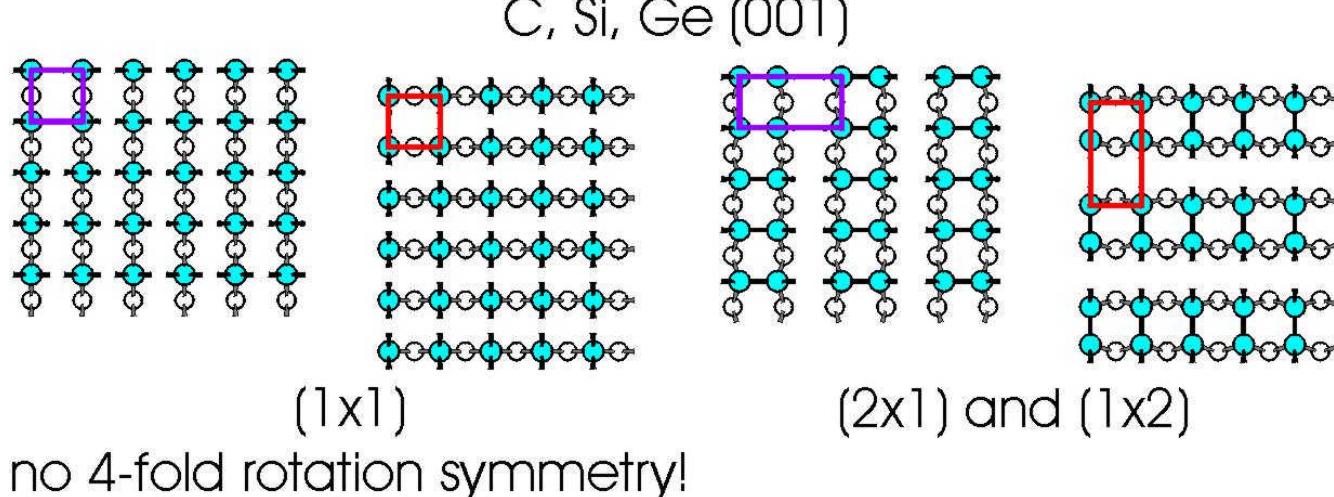
Superstructures, example 2

Si(001) clean



no 2x2 structure!
central spots missing
→ two-domain 2x1

Wasserfall, Ranke, 1994



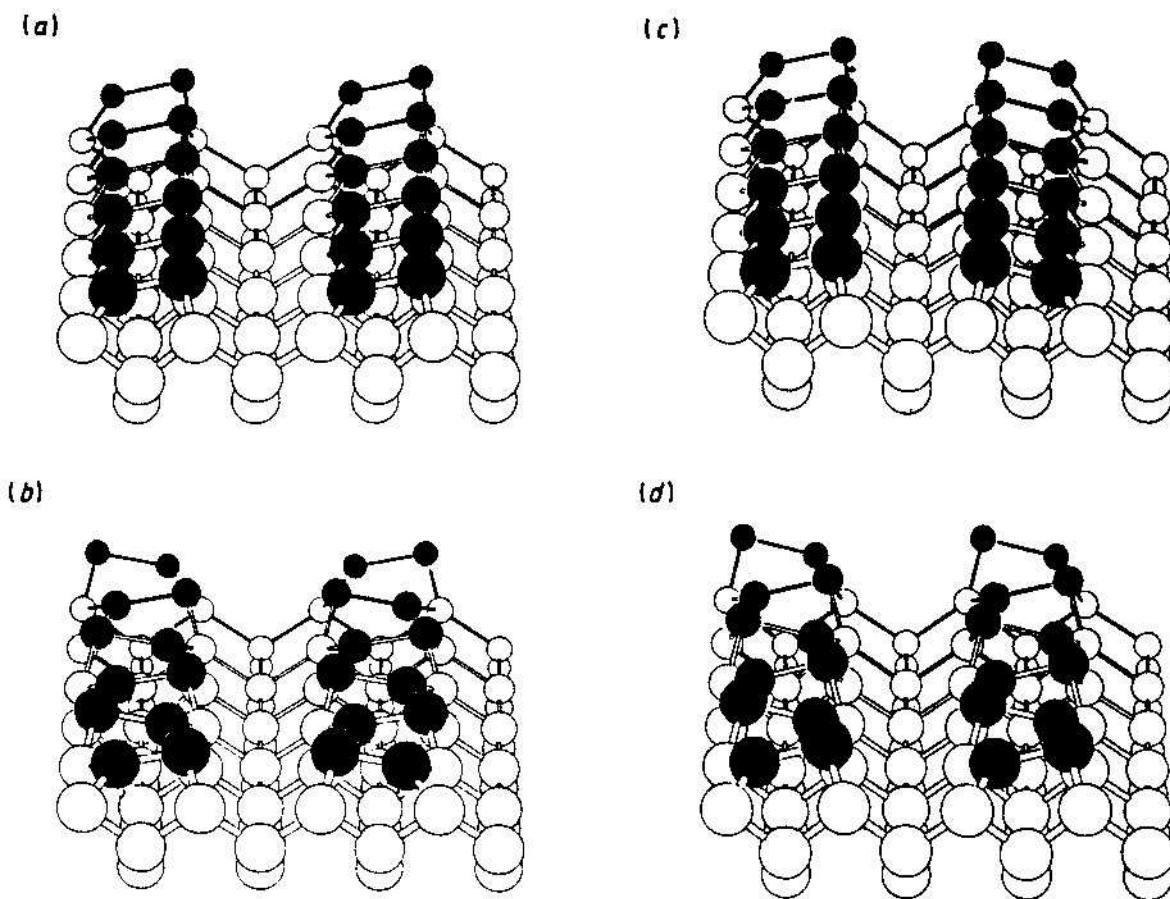
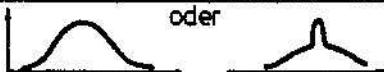
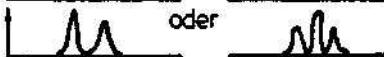
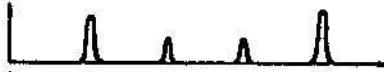


Figure 3. Buckled dimer reconstructions on the (001) surface of germanium: (a) b(2×1); (b) c(4×2); (c) p(4×1); (d) p(2×2).

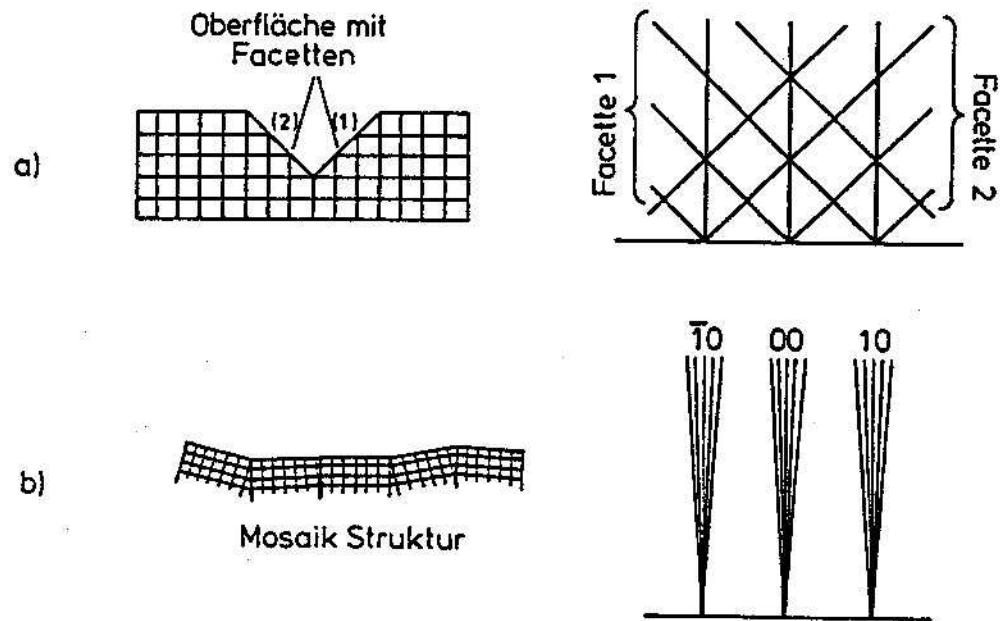
3. LEED – less simple

Information from spot shape (profile), background, E_0 -dependence (k_{\perp} -dependence)

Nachweis von Oberflächendefekten mit Beugung		
Dimen- sion	Beispiele An	Einfluß auf Reflexprofil
0	Punktfehler thermische Bewegung statische Unordnung	Anordnung: statistisch 
		korreliert 
1	Stufenkanten Domänen (Größe, Grenzen)	statistisch regelmäßig  oder 
		periodisch (Stufen) keine (Domänen)
2	Überstruktur Facetten	
		keine periodisch
3	Volumendefekte (Mosaik, Verspannung)	
ideale Oberflächen		
k_{\perp} Abhängigkeit keine		
monoton		
keine		

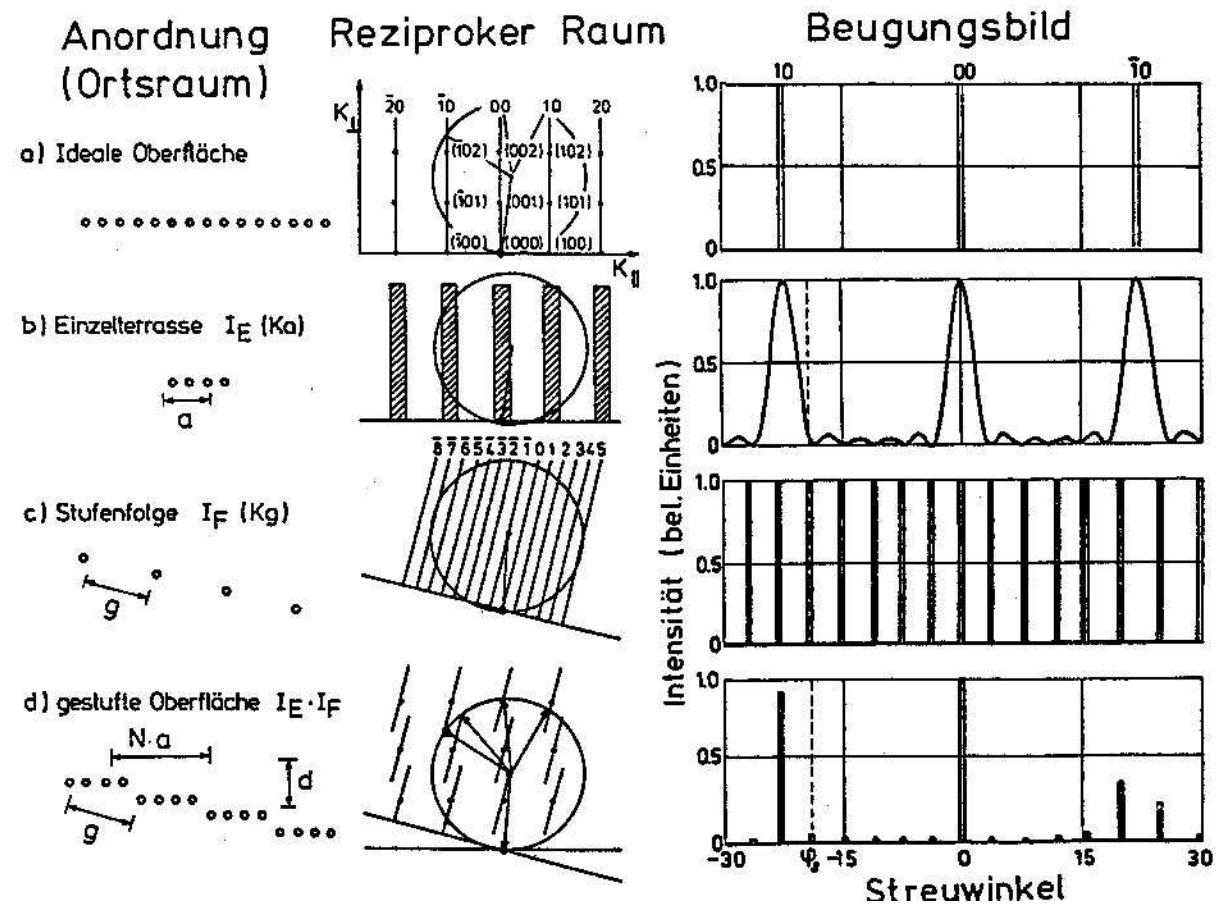
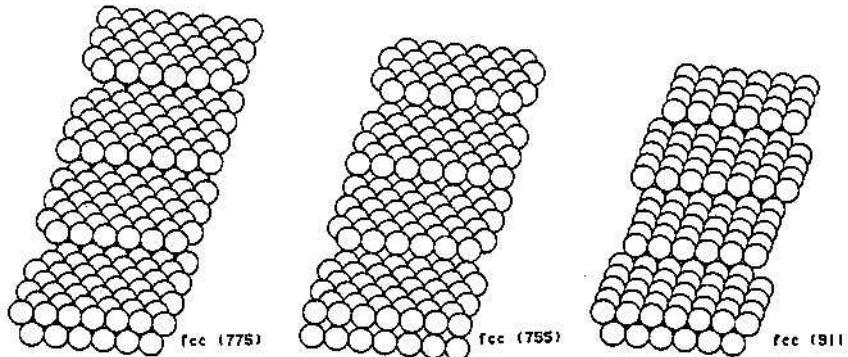
Facets and mosaic

Henzler, Göpel
Abb. 3.8.4, p.167



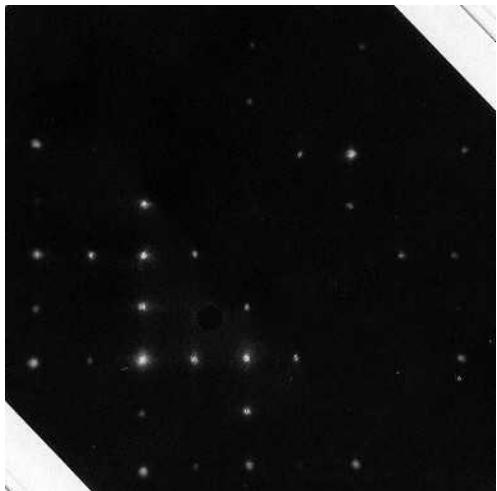
Regular atomic steps

Van Hove et al., fig. 3.6, p.58

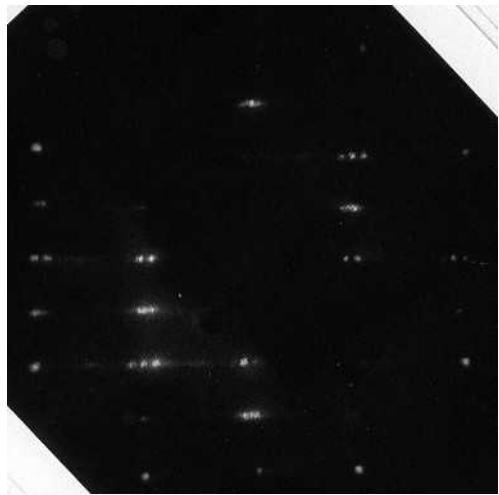


Example: Si(001)vic

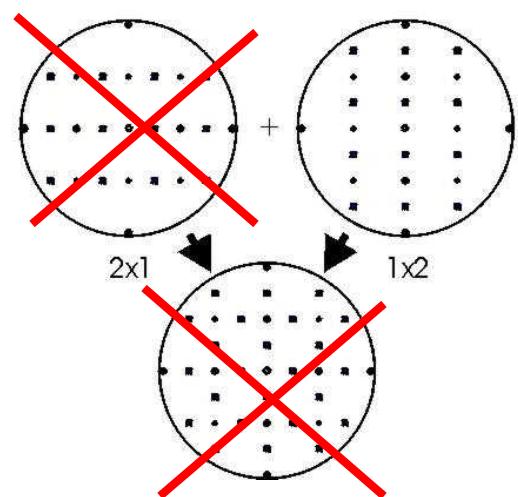
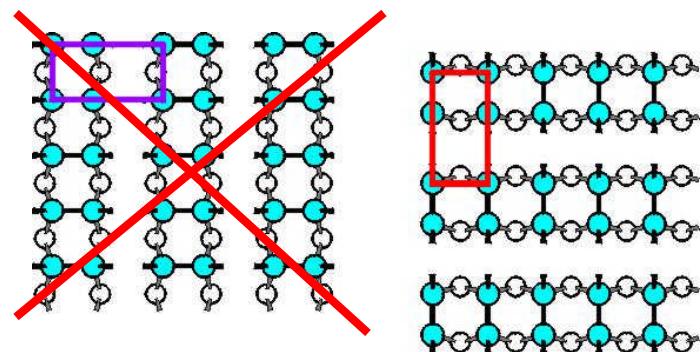
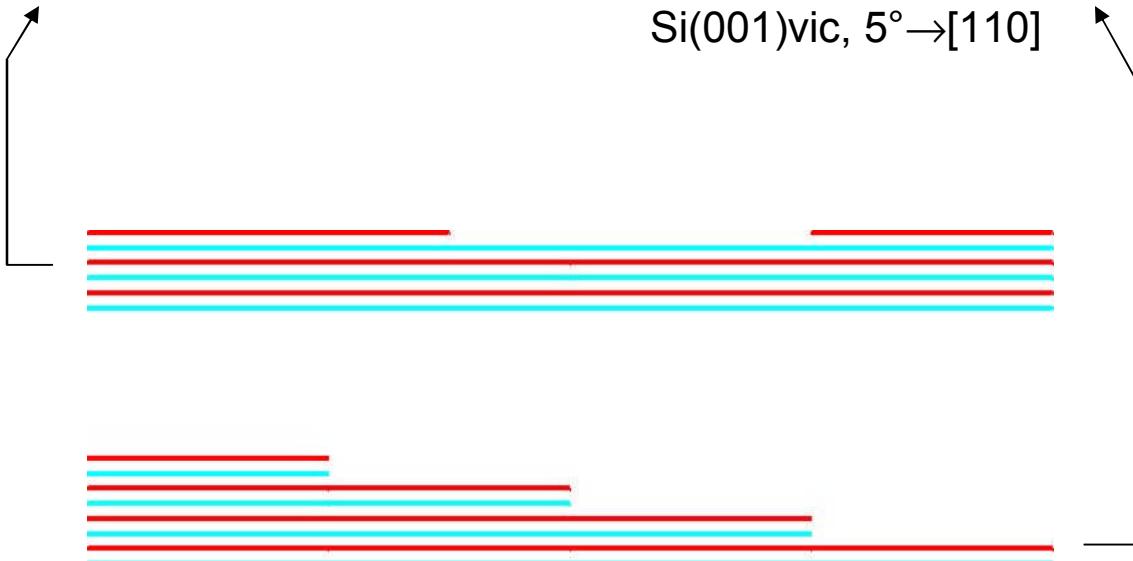
Si(001) \uparrow [-110]



\rightarrow [110]



Si(001)vic, $5^\circ \rightarrow [110]$



Wasserfall, Ranke, 1994

4. LEED – difficult

Spot intensities contain information on structure within the unit cell

$$I \sim |F|^2 \cdot |G|^2$$

$|G|^2$ = structure factor or **lattice factor**

contains shape and arrangement of repeat units (unit cells)

yields reciprocal lattice

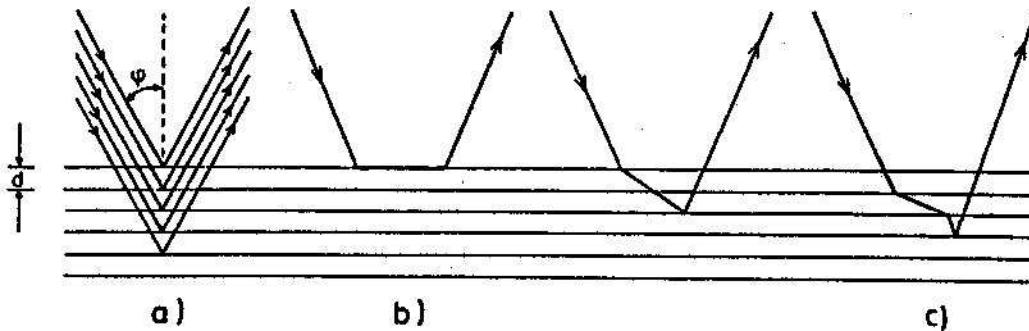
determines location and shape of spots,
kinematic theory

$|F|^2$ = structure factor or **form factor**

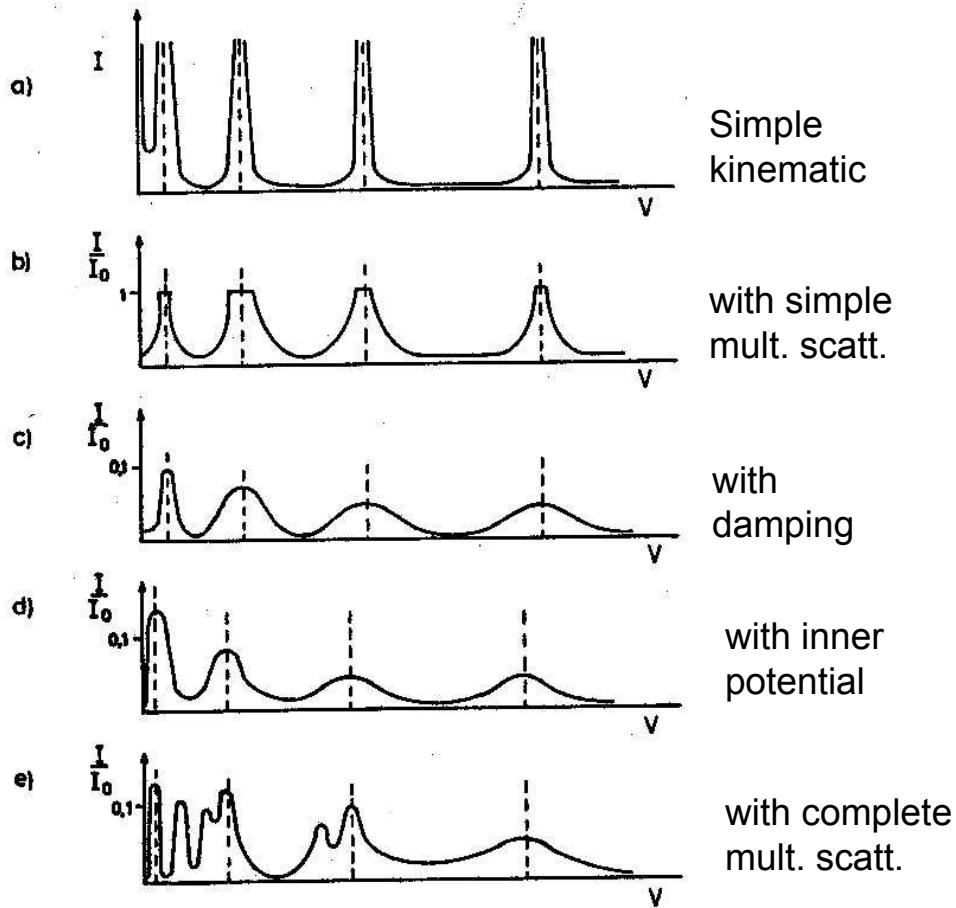
contains contribution from all atoms within the repeat unit,
includes multiple scattering, in-depth attenuation,
dynamic theory

Multiple scattering

Henzler/Göpel fig. 3.7.3, p.151



I-V-curve (schem.)



Henzler/Göpel, fig. 3.7.4, p.152

Dynamic LEED analysis:
No direct deduction of structure
from I-V-curves:

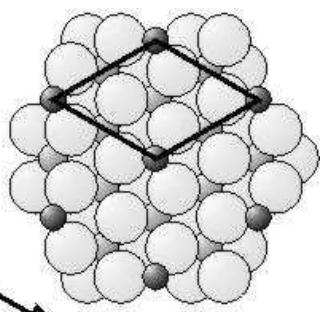
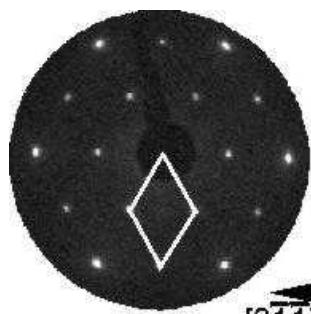
Guess structure model
calculate I-V-curves
compare with measured curves
modify model
check if improval
if yes: proceed modifying in this direction
if no: modify in another direction
or guess new model

Disadvantage:
Only for ordered structures
Much computer time

But:
One of very few methods for
structure analysis of first few
atomic layers (~ 1 nm)



Pt(111)

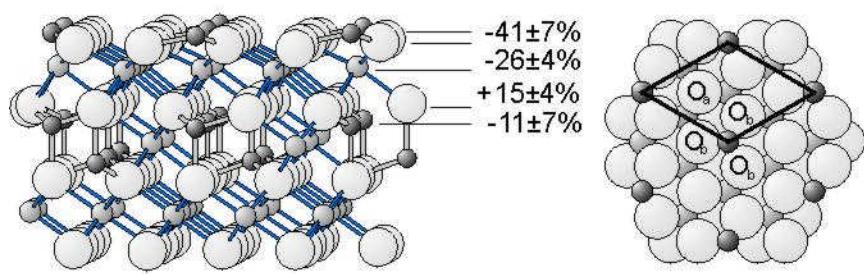
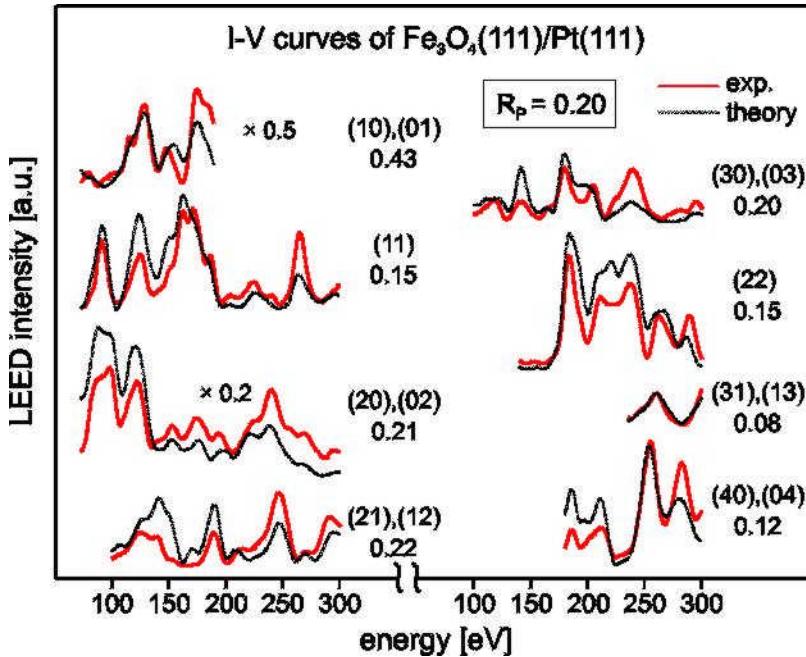


[211]
[011]
[110]

$\text{Fe}_3\text{O}_4(111)$,
(inverse spinel)
10 nm thick
on Pt(111)

LEED-I-V analysis
is one of very few
reliable
surface structure
analysis methods!

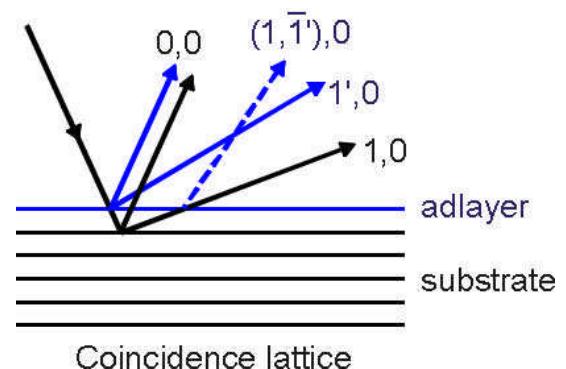
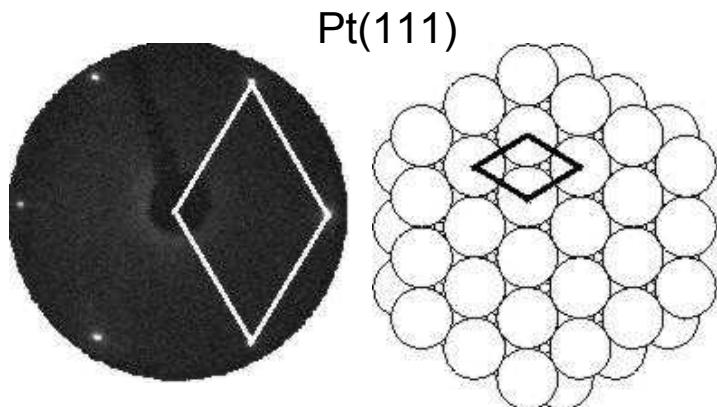
Michael Ritter,
Werner Weiss
Guido Ketteler



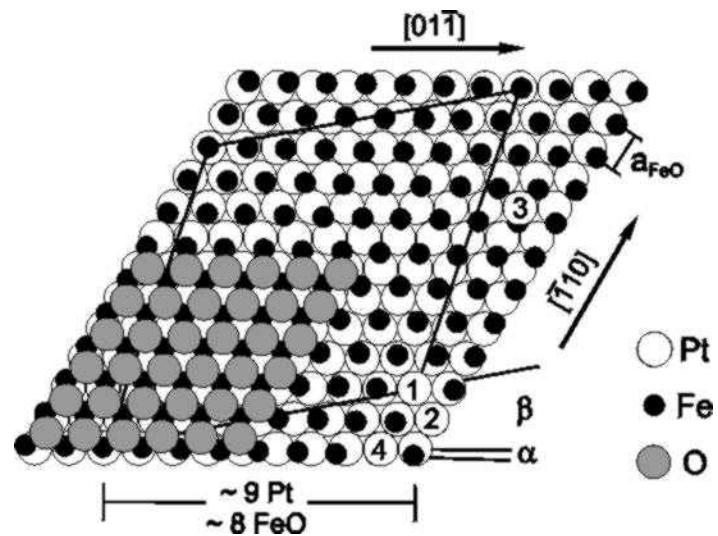
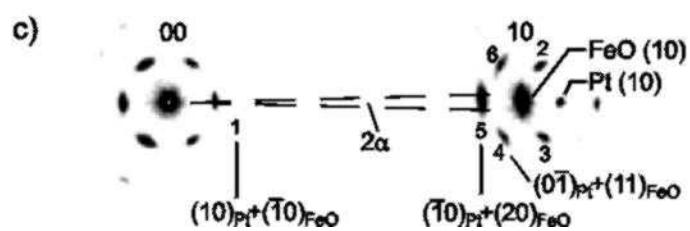
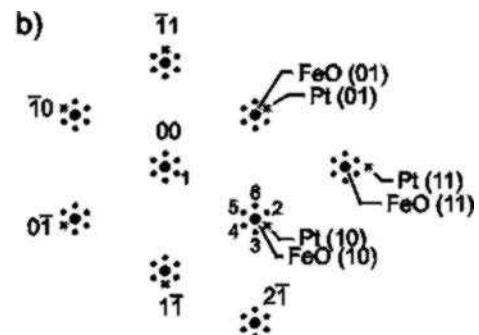
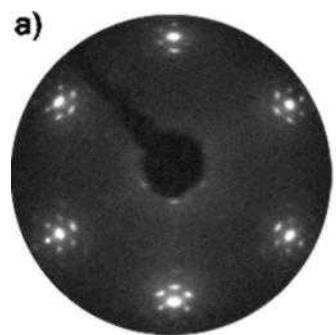
c)

bulk repeat unit	$\text{Fe}_3\text{O}_4(111)$ surface	layer distances [\AA]		relaxations [%]
		bulk	surface	
$\text{Fe}_{\text{tet}1}$	d_1	0.64	0.38 ± 0.05	-41±7
O_1	b_1	0.04	0.08 ± 0.09	-26±4
$\text{Fe}_{\text{oct}1}$	d_2	1.18	0.87 ± 0.05	+15±4
O_2	b_2	0.04	0.12 ± 0.09	-11±7
$\text{Fe}_{\text{tet}2}$	d_4	0.64	0.57 ± 0.05	
$\text{Fe}_{\text{oct}2}$	d_5	0.60	0.60	0

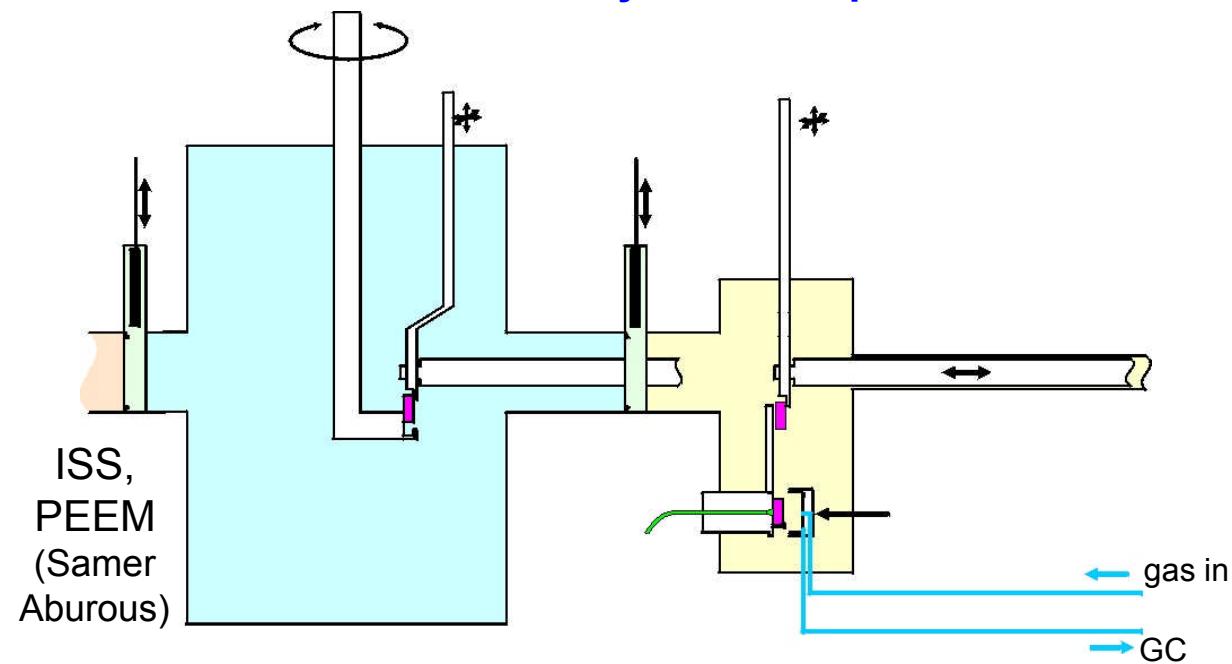
FeO/Pt(111), satellite pattern: multiple scattering, kinematic



0.9 ML FeO(111) on Pt(111), „structure 1“

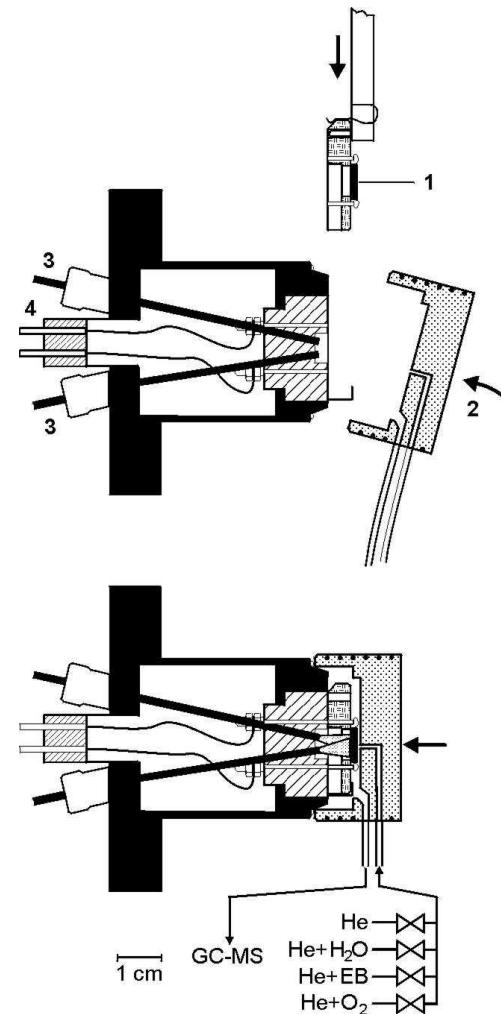
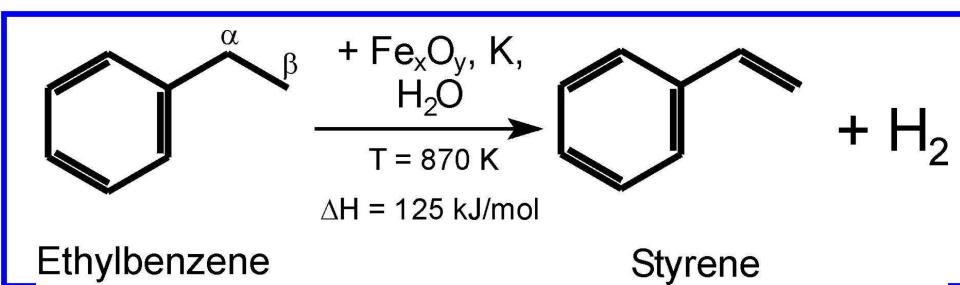


5. LEED in model catalysis - example



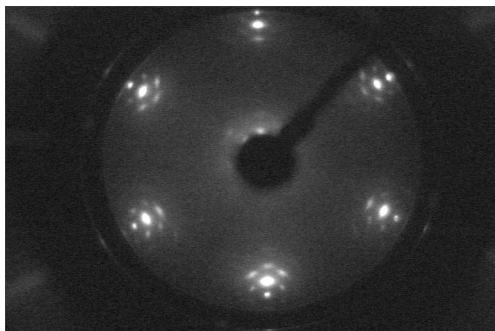
UHV
LEED, AES, TDS
 $p = 10^{-6}$ to
 10^{-10} mbar

Preparation
reactor
 $p = 1000$ to
 10^{-6} mbar



Manfred Swoboda
Christian Kuhrs
Werner Weiss

Distinguish different Fe-O-phases



as measured

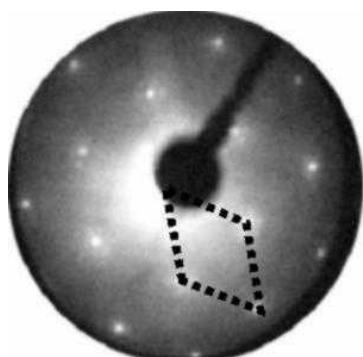
contrast enhanced

$\text{FeO}(111)/\text{Pt}(111)$, 1 ML

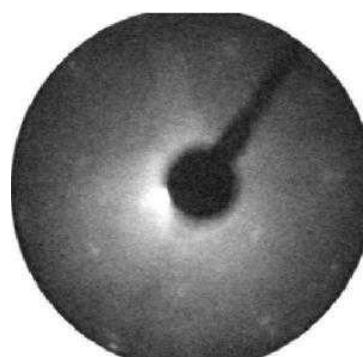
$\text{Fe}_3\text{O}_4(111)$

$\alpha\text{-Fe}_2\text{O}_3(0001)$

Change of
order and
phase during
reaction



Starting surface:
 $\alpha\text{-Fe}_2\text{O}_3(0001)$
(hematite),
defective



After reaction
- no long-range order
- strong C peak in AES



After mild TPO
(thermal programmed
oxidation)
- reordered
- no longer hematite
but $\text{Fe}_3\text{O}_4(111)$
(magnetite)



Modern Methods in Heterogeneous Catalysis Research: Theory and Experiment



6. Conclusions

For qualitative information on surface structure very simple (display LEED)

- Order
- Periodicity
- Symmetry

For quantitative information on deviations from ideal order (SPA-LEED)

- Domain size
- Antiphase domains
- atomic steps

For quantitative analysis of surface structure (dynamic I-V-curve analysis)

- Precise atomic arrangements
- Relaxations
- Reconstructions