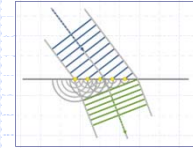
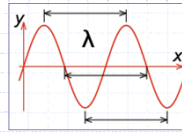


# Physical Chemistry

## Lecture 11

### Waves, Matrices, Operators, and Eigenvalue Equations

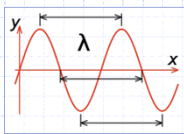
# Waves



$$\lambda = \frac{\lambda_0}{n(\lambda_0)}$$

$\lambda_0$  - wavelength in vacuum  
 $n(\lambda_0)$  - refractive index of the medium, which varies with wavelength (dispersion)

# Wave moving through space



$$y(x, t) = A \cos(k(x - vt))$$

Dispersion relation:

$$k = \frac{2\pi}{\lambda} = \frac{\omega}{v}$$

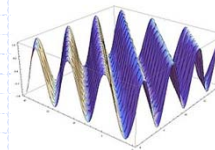
Not simple, because  $v$  is a function of  $\omega$

# Waves in complex notation

Wave equation

$$\frac{\partial^2 u}{\partial x^2} = \frac{1}{v^2} \frac{\partial^2 u}{\partial t^2}$$

$$u = A e^{ikx} e^{-i\omega t}$$



$$k = \frac{2\pi}{\lambda}$$

$$\omega = 2\pi f$$

# Matrices

◆ Matrix: an array of numbers or functions.

$$\mathbf{A} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$$

◆ Position in the array is important

- Labeling of matrix elements requires two indices

$$\mathbf{A} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix}$$

◆ Rank – the number of rows or columns

# Special types of matrices

- ◆ Square matrix
  - Row rank equal to column rank
- ◆ Symmetric matrix  $\mathbf{S}$ 
  - Off-diagonal elements across principal diagonal are equal
- ◆ Diagonal matrix  $\mathbf{D}$ 
  - All off-diagonal elements are zero
- ◆ Real matrix  $\mathbf{R}$ 
  - All elements are real numbers or real functions
- ◆ Complex matrix  $\mathbf{C}$ 
  - Some elements are complex numbers or functions
- ◆ Transpose of a matrix
  - A matrix formed from another by exchanging elements across the principal diagonal

$$\mathbf{S} = \begin{bmatrix} a_{11} & A & B \\ A & a_{22} & C \\ B & C & a_{33} \end{bmatrix}$$

$$\mathbf{D} = \begin{bmatrix} a_{11} & 0 & 0 \\ 0 & a_{22} & 0 \\ 0 & 0 & a_{33} \end{bmatrix}$$

$$\mathbf{R} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$$

$$\mathbf{C}(x, y) = \begin{bmatrix} 1 & x+iy & 25 \\ x-iy & 3+2i & 44 \\ 6 & 3 & xy \end{bmatrix}$$

## Matrix mathematics

### Equality

$$\mathbf{A} = \mathbf{B} \Leftrightarrow a_{ij} = b_{ij}$$

### Additivity and subtraction

$$\mathbf{C} = \mathbf{A} \pm \mathbf{B} \Leftrightarrow c_{ij} = a_{ij} \pm b_{ij}$$

### Multiplication by a scalar

$$\mathbf{A} = k\mathbf{B} \Leftrightarrow a_{ij} = kb_{ij}$$

## Matrix multiplication

### Multiplication by a matrix is defined as

$$\mathbf{C} = \mathbf{A} \cdot \mathbf{B} \Leftrightarrow c_{ij} = \sum_k a_{ik} b_{kj}$$

### Must be commensurate for multiplication

- Row rank of first must equal column rank of second

### Example

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \begin{bmatrix} 9 & 8 & 7 \\ 6 & 5 & 4 \\ 3 & 2 & 1 \end{bmatrix} = \begin{bmatrix} 30 & 24 & 18 \\ 84 & 69 & 54 \\ 138 & 114 & 90 \end{bmatrix}$$

### Multiplication is not necessarily commutative

- Order matters

$$\mathbf{A} \cdot \mathbf{B} \neq \mathbf{B} \cdot \mathbf{A}$$

## Direct product

### Another kind of matrix multiplication

### Yields an expanded matrix

$$\mathbf{A} \otimes \mathbf{B} = \begin{bmatrix} a_{11}\mathbf{B} & a_{12}\mathbf{B} & \dots \\ a_{21}\mathbf{B} & a_{22}\mathbf{B} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix}$$

### Example direct product

$$\mathbf{A} = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} 2 & 2 \\ 3 & 1 \end{bmatrix} \Rightarrow \mathbf{A} \otimes \mathbf{B} = \begin{bmatrix} 2 & 2 & 4 & 4 \\ 3 & 1 & 6 & 2 \\ 6 & 6 & 8 & 8 \\ 9 & 3 & 12 & 4 \end{bmatrix}$$

## Inverse matrices

### Division of matrices not defined

### Sometimes have the situation

$$\mathbf{A} \cdot \mathbf{B} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \mathbf{1}$$

### A is called the left inverse of B, and B is called the right inverse of A

### 1 is known as the identity matrix

- Analogous to the number 1 in scalar algebra

## Orthogonal and unitary matrices

### The relation between a matrix and its inverse specifies its type.

Orthogonal matrix

$$\mathbf{O} \cdot \mathbf{O}^{-1} = \mathbf{1} \text{ and } \mathbf{O}^{-1} = \mathbf{O}^T$$

### The inverse of an orthogonal matrix is its transpose.

### The inverse of a unitary matrix is the complex conjugate of its transpose.

Unitary matrix

$$\mathbf{U} \cdot \mathbf{U}^{-1} = \mathbf{1} \text{ and } \mathbf{U}^{-1} = (\mathbf{U}^T)^*$$

### Orthogonal and unitary matrices have identical left and right inverses.

## Operations in matrix algebra

### Similarity

- Two matrices are said to be similar if there exists multiplication by a unitary matrix and its inverse that transforms one into the other

$$\mathbf{A} \xrightarrow{s} \mathbf{B}$$

if

$$\mathbf{B} = \mathbf{U} \cdot \mathbf{A} \cdot \mathbf{U}^{-1}$$

### Real square matrices can be similar to a real diagonal matrix

- Finding the unitary matrix (and its inverse) is a means of diagonalizing the matrix

$$\mathbf{R} \xrightarrow{s} \mathbf{D}$$

## Similarity and eigenvalues

- ◆ Similarity to a diagonal matrix allows the determination of matrix eigenvalues
  - Nonzero elements of the diagonal matrix are said to be the eigenvalues of the original matrix
- ◆ Diagonalization of a matrix
  - Easily done with computers
  - Gives associated **eigenvectors** in terms of basis vectors of the matrix

$$\begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \xrightarrow{s} \begin{bmatrix} 1 & 0 \\ 0 & 3 \end{bmatrix}$$

## Operators

- ◆ Many processes in mathematics are thought of as operations.
  - Addition, subtraction, multiplication, division
- ◆ Operation is a general term that encompasses other actions.
  - Rotation of a chair
  - Replacement of a letter by a number
  - Removing all vowels from a word to create a new sequence of just consonants
- ◆ Every operation has three parts
  - Operator
  - Operand
  - Result

## Operator algebra

- ◆ Equality  $\hat{o}_1 = \hat{o}_2 \Leftrightarrow \begin{cases} \hat{o}_1 f = g \\ \hat{o}_2 f = g \end{cases}$
- ◆ Addition
  - Commutativity
  - Distributivity
$$\begin{aligned} (\hat{o}_1 + \hat{o}_2)f &= \hat{o}_1 f + \hat{o}_2 f \\ \hat{o}(f+g) &= \hat{o}f + \hat{o}g \end{aligned}$$
- ◆ Multiplication
  - Order-sensitive
  - May be noncommutative
$$\begin{aligned} (\hat{o}_1 \bullet \hat{o}_2)f &= \hat{o}_1(\hat{o}_2 f) \\ \hat{o}_1 \bullet \hat{o}_2 &\neq \hat{o}_2 \bullet \hat{o}_1 \end{aligned}$$

## Operators in mathematics

- ◆ Operators change functions into other functions

$$\hat{O} f(x, y, z) = g(x, y, z)$$

- ◆ Example 1: the derivative operator, D

$$\hat{D}(x^2 + x + 2) = 2x + 1$$

- ◆ Example 2: the translation operator,  $T_h$

$$\begin{aligned} \hat{T}_h(x^2 + x + 2) &= (x+h)^2 + (x+h) + 2 \\ &= x^2 + (2h+1)x + h^2 + h + 2 \end{aligned}$$

## Commutators of operators

- ◆ Must establish relations between operators
- ◆ One relationship – commutativity
  - Defined by commutator
$$[\hat{A}, \hat{B}]f = \hat{A}(\hat{B}f) - \hat{B}(\hat{A}f)$$
- ◆ Example:

$$\begin{aligned} [\hat{x}, \hat{x}] &= 0 \\ \left[\hat{x}, \frac{d}{dx}\right] &= -1 \end{aligned}$$

## Operators and eigenfunctions

- ◆ Some operations on some functions give the following special result

$$\hat{O} f(x, y, z) = k f(x, y, z)$$

- ◆ Functions with this property are said to be **eigenfunctions** of the operator
- ◆ The constant  $k$  is the **eigenvalue** associated with the eigenfunction
- ◆ This is called an **eigenvalue equation**
- ◆ If  $O$  contains derivative operators, the eigenvalue equation is a differential equation

$$\frac{d}{dx} f_k = k f_k$$

## Eigenvalue equations in physics

- ◆ Represent measurable parameters in quantum mechanics with operators
- ◆ Represent possible values with eigenvalues
- ◆ Energy -- Schroedinger's equation (contains the Hamiltonian operator)
- ◆ Momentum (contains the momentum operator)
- ◆ The complete set of eigenfunctions of an operator and the associated eigenvalues represent **all possible states of the system**.

$$\hat{H}\Psi_k = E_k\Psi_k$$

$$\hat{p}\Psi_k = p_k\Psi_k$$

## Operators for physical variables

- ◆ The **correspondence principle**
  - An operator for a physical parameter is found by substitution into the classical expression
    - ◆ For **r** (position), multiplication by **r**
    - ◆ For **p** (momentum), the operator is  $-i\hbar\nabla$
- ◆ The energy operator (the Hamiltonian)

$$H = KE + PE$$

$$\Rightarrow \hat{H} = -\frac{\hbar^2}{2m}\nabla^2 + V(\mathbf{r})$$

$$= \frac{p^2}{2m} + V(\mathbf{r})$$

## Matrix eigenvalue equation

- ◆ Matrix equation equivalent to Schroedinger's equation
 
$$\mathbf{H}\mathbf{c} = \mathbf{E}\mathbf{c}$$
- ◆ Diagonalization of **H** gives the eigenvalues and associated eigenvectors
  - The components of **c** for each eigenvalue give the associated eigenvector in terms of the basis vectors relative to which the matrix was defined

## Summary

- ◆ Matrices are ordered arrays whose algebra is useful in quantum mechanics
  - Algebraic properties are somewhat different from scalar algebra, e.g. matrix multiplication may not be commutative
- ◆ Operators are another mathematical device whose algebra is useful in quantum mechanics
  - Correspondence to properties of a physical system
  - Operations may not commute
- ◆ Eigenvalue equations
  - Define functions that have a special relation to a particular operator
  - Eigenfunctions are associated with specific constants, eigenvalues
  - Schroedinger's equation is associated with finding quantum states of constant energy